

2662#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Victor Shao, et al.

Serial No.: 09/751,259

Filed on: December 29, 2000

For: MOBILE SURVEYS AND POLLING

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Confirmation No.: 4588  
)  
) Examiner: NGUYEN,  
) ALAN V.  
)  
) Group Art Unit No.: 2662

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- \_\_\_\_\_ 37 C.F.R. §1.97(b).  
  X   37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

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\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

The Examiner's attention is directed to the following related application(s) for the following reasons (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ The Examiner is hereby notified that the following related application has been filed:

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.

This related application contains one or more claims that may be substantially similar to one or more claims in the present application. Therefore, the Examiner is encouraged to reference the file history of the related application as some of the information contained therein may be material to the examination of the present application.

  X   The Examiner is hereby notified that the present application is related to the following related application(s):

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
09/729,810	December 4, 2000	50277-1524
10/095,803	March 19, 2002	50277-1526

The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

  X   The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

U.S. Application Serial No. 09/729,810, filed on December 4, 2000 (Office Action mailing date April 5, 2004).

The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the

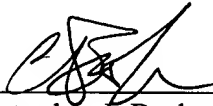
related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 9, 2004

  
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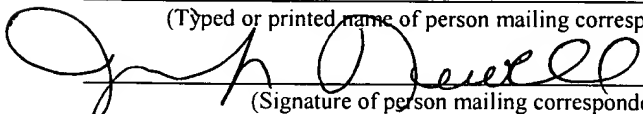
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 9, 2004  
(Date of Deposit)

Jennifer Newell

\_\_\_\_\_  
(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
50277-1525

SERIAL NO.  
09/751,259

APPLICANT:  
Victor Shao et al.

FILING DATE:  
December 29, 2000

GROUP:  
2662

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,715,399		Bezos	02-03-1998	
		5,960,411		Hartman et al.	09-28-1999	
		6,125,352		Franklin et al.	09-26-2000	
		6,192,380	B1	Light et al.	02-20-2001	
		6,199,079	B1	Gupta et al.	03-06-2001	
		2001/0011250	A1	Paltenghe et al.	08-02-2001	
		2001/0011274	A1	Klug et al.	08-02-2001	
		2001/0025245	A1	Flickinger et al.	09-27-2001	
		2001/0027439	A1	Holtzman et al.	10-04-2001	
		2002/0004783	A1	Paltenghe et al.	01-10-2002	
		6,341,353	B1	Herman et al.	01-22-2002	
		6,378,075	B1	Goldstein et al.	04-23-2002	
		2002/0065774	A1	Young et al.	05-30-2002	

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		WO	00/03554	A1	Telefonaktiebolaget Lm Ericsson	01-20-2000		
		WO	01/43390	A2	Markport Limited	06-14-2001		
		WO	01/10080	A2	Ericsson Inc.	02-08-2001		

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Examiner  
Signature

Date Considered

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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INFORM

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PATENT & TRADE MARK OFFICE

**SERIAL NO.**  
09/751,259

**FILING DATE:**  
December 29, 2000

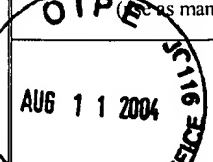
**GROUP:**  
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Date Considered

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) (as many sheets as necessary)	Attorney Docket No.: 50277-1525	Application Number: 09/751,259
	First Named Inventor: Victor Shao	
	Filing Date: December 29, 2000	

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Yahoo!; What is Yahoo! Wallet?; October 18th, 2000; retrieved from the Internet Archives ( <a href="http://www.archive.org">http://www.archive.org</a> ) at <a href="http://web.archive.org/web/20001018070000/help/wallet/wallet-07.html">http://web.archive.org/web/20001018070000/help/wallet/wallet-07.html</a> .	<b>RECEIVED</b> <b>AUG 13 2004</b> <b>Technology Center 2600</b>
		Just Deals.com; Order Confirmation; Retrieved from <a href="http://weinbeck.mit.edu/keyboard.pdf">http://weinbeck.mit.edu/keyboard.pdf</a> .	
		Boutlon, Clint; Yahoo! Moves to Speed Checkout; October 5th, 1999; <a href="http://www.internetnews.com/ec-news/article.php/212081">http://www.internetnews.com/ec-news/article.php/212081</a> .	
		Crouch, Cameron; Yahoo Shopping Goes Express; October 6th, 1999; PC World.	
		Barnett, Megan; 1999: Year of the E-Wallet; June 30th, 1999; PC World.	
		Yahoo Media Relations; Yahoo! Makes Online Shopping Easier for Consumers; October 5th, 1999; Yahoo Press Release.	
		Yahoo Media Relations; Yahoo! Shopping Now Available on Web-Enabled Phones; November 22nd, 2000; Yahoo Press Release.	
		Patterson et al; Computer Organization & Design; The Hardware/Software Interface: 1999; Morgan Kaufmann Publishers, Inc; Second Edition; pages 575-576.	
		Christer Erlandson, et al., "WAP – The wireless application protocol," Ericsson Review No. 4, 1998, XP-000792053, pp. 150-153.	

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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